

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ashkenazi, et al. Docket No.: 39780-1618P2C13
Serial No.: 09/904,532 Group Art Unit: 1647
Filing Date: July 13, 2001 Examiner: Deberry, Regina M.
For: Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same
EXPRESS MAIL LABEL NO.: EL 993 687 371 US
DATE MAILED: December 6, 2004

SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97

MS: AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Listed on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication, except U.S. patents and patent application publications (1276 OG 55), is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §§ 1.97-1.98.

In addition, each reference listed and denoted by an asterisk (*) have been cited in a related patent application, US Serial No. 09/903,562 (claiming PRO 224 antibody); Our Ref. No. 39780-1618P2C14 and/or US Serial No. 09/906,722 (claiming PRO 224 nucleic acid); Our Ref. No. 39780-1618P2C61. A copy of each reference is provided herewith. Applicants submit these documents to ensure that all references are of record and considered in all related applications. Applicants respectfully request the Examiner to acknowledge consideration of the currently submitted references by initialing and returning form PTO-1449 in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --

- ☐ (3) It is being filed before the mail date of the first Office Action on the merits
-- OR --
☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114..

☐ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

☐ a certification as specified in §1.97(e) is provided below; or

☐ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☒ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

A. a certification as specified in §1.97(e) is completed below; and

B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and

C. a fee of \$180.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☒ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$180.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No. 39780-1618P2C13).

Respectfully submitted,

Dated: December 6, 2004

By: Daphne Reddy
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Reg. No. 53,507

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**INFORMATION DISCLOSURE
STATEMENT**

PTO-1449

ATTY. DOCKET NO.:

39780-1618P2C13

SERIAL NO.:

09/904,532

APPLICANT : Ashkenazi, et al.

FILING DATE: 07/13/01

GROUP: 1647

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	* 6,284,485	09//04/2001	Boyle et al.	435	69.1	

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
						<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	42 human EST's putatively encoding proteins having similarity with lipoprotein receptors disclosed before 17.09.97; Host: NCBI, Database: Genbank.
	500 human EST's putatively encoding secreted or transmembrane proteins disclosed before 28.10.97; Host; NCBI, Database: Genbank.
	Lestralval and Fruchart, "Lipoprotein Receptors", Cell. Mol. Biol., Vol. 40, No. 4, pp. 461-481, 1994.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



RECEIVED BY THE U.S. PATENT AND TRADEMARK OFFICE:

Title: SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS
ENCODING THE SAME

Inventors: Avi Ashkenazi, et al.
Application Serial No. 09/904,532
Examiner: Regina Deberry

Filing Date: July 13, 2001
Group Art Unit: 1647

Commissioner for Patents - MAIL STOP AMENDMENT
PO Box 1450
Alexandria, Virginia 22313-1450

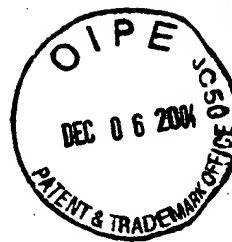
SIR:

Please place the U.S. Patent and Trademark Office date stamp hereon to acknowledge receipt of the following:

- ☒ Information Disclosure Statement and PTO 1449 with 4 references
- ☒ Authorization to Charge Deposit Account 08-1641

Express Mail Cert. No. EL 993 687 371 US
Express Mail Date: December 6, 2004

Reference: (DLR/sp) Docket No.: 39780-1618P2C13



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